

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Seishi Kato et al.

Group Art Unit: 1642

Application Serial No: 09/254,760

Filing Date: April 16, 1999

Examiner: Wesley Lazar, Ph.D.

For: *Human Proteins Having Secretory Signal Sequences And DNAs Encoding These Proteins*

Attorney Docket No.: GIN-6704CPUS

Commissioner for Patents  
Box Sequence  
Washington, D.C. 20231

**TRANSMITTAL LETTER FOR DISKETTE**  
**CONTAINING SEQUENCE LISTING**

Dear Sir:

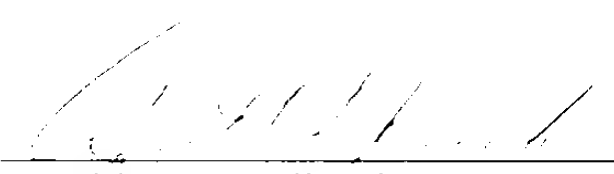
Enclosed is a diskette that contains a computer readable form of the substitute Sequence Listing for the above-referenced patent application. The substitute Sequence Listing complies with the requirements of 37 C.F.R. §§ 1.821-1.825. The material on the diskette is identical in substance to the hard (paper) copy of the substitute Sequence Listing appearing on pages 1-28, and submitted herewith, as required by 37 C.F.R. § 1.821(f). The computer readable form of the substitute Sequence Listing contained on the enclosed diskette is understood to comply with the requirements of § 1.824(d). No new matter has been added.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Petitions, Box DAC Washington, D.C. 20231 on:

November 2, 2001  
\_\_\_\_\_  
Date

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
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Registration No. 37,320  
Attorney for Applicants

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

JAN 16 2002

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.

☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).

☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."

☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).

☐ 7.

Other: \_\_\_\_\_

Applicant must provide:

☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"

☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification

☒ A statement that the content of the paper and computer readable copies are the same

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Please return a copy of this notice with your response.